



15866 U.S. PTO

Please type a plus sign (+) inside this box →



PTO/SB/05 (05-03)
Approved for use through 04/30/2003, OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | | | |
|--|--|---|--|--|--------------------|--|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Attorney Docket No. | | STAN-303 | | | |
| | | First Inventor | | MONJE, MICHELLE L. | | | |
| | | Title | PREVENTION OF DEFICITS IN NEUROGENESIS WITH ANTI-INFLAMMATORY AGENTS | | | | |
| | | Express Mail Label No. | | EV 405280498 US | | | |
| APPLICATION ELEMENTS SEE MPEP chapter 600 concerning utility patent application contents. | | | | ADDRESS TO: Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450 | | | |
| <div><div><div>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></div><div>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div><div>3. <input checked="" type="checkbox"/> Specification [Total Pages: 44] <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none">-Descriptive title of the invention-Cross Reference to Related Applications-Statement Regarding Fed sponsored R & D-Reference to sequence listing, a table, or a computer program listing appendix-Background of the Invention-Brief Summary of the Invention-Brief Description of the Drawings (if filed)-Detailed Description-Claim(s)-Abstract of the Disclosure</div><div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages: 8]</div><div>5. Oath or Declaration [Total Pages: _____]<div>a. <input type="checkbox"/> Newly executed (original or copy)</div><div>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small><div>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</div></div></div><div>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div></div><div><div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div><div>8. Nucleotide and/or Amino Acid Sequence Submission <i>if applicable, all necessary</i><div>a. <input type="checkbox"/> Computer Readable Form (CRF)</div><div>b. Specification Sequence Listing on:<div>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</div><div>ii. <input type="checkbox"/> paper</div></div><div>c. <input type="checkbox"/> Statements verifying identity of above copies</div></div></div></div> | | | | | | | |
| ACCOMPANYING APPLICATION PARTS | | | | | | | |
| <div>9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))</div> <div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></div> <div>11. <input type="checkbox"/> English Translation Document (if applicable)</div> <div>12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS/PTO-1449)</div> <div>13. <input type="checkbox"/> Preliminary Amendment</div> <div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></div> <div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></div> <div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <small>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</small></div> <div>17. <input type="checkbox"/> Other: _____</div> | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <div><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 60/463,769 filed 4/17/2003 AND 6060/519,562 filed 11/12/2003</div> <div>Prior application information: Examiner: _____ Group Art Unit: _____</div> <div>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div> | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | | 24353 <small>(Insert Customer No. or Attach bar code label here)</small> | | or <input type="checkbox"/> Correspondence address below | | | |
| Name | | BOZICEVIC, FIELD & FRANCIS LLP | | | | | |
| Address | | 200 Middlefield Road, Suite 200 | | | | | |
| City | | Menlo Park | State | California | Zip Code 94025 | | |
| Country | | United States of America | Telephone | (650) 327-3400 | Fax (650) 327-3231 | | |
| Name | | Pamela J. Sherwood | | Registration No. (Attorney/Agent) 36,677 | | | |
| Signature | | | | Date April 16, 2004 | | | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 385.00)

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | Not Yet Assigned |
| Filing Date | Herewith |
| First Named Inventor | MONJE, MICHELLE L. |
| Examiner Name | Not Yet Assigned |
| Art Unit | Not Yet Assigned |
| Attorney Docket No. | STAN-303 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:
 Deposit
Account
Number
Deposit
Account
Name

50-0815

Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

1001 770 2001 385 Utility filing fee

1002 340 2002 170 Design filing fee

1003 530 2003 265 Plant filing fee

1004 770 2004 385 Reissue filing fee

1005 160 2005 80 Provisional filing fee

SUBTOTAL (1)

385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below

Fee Paid

Total Claims

-20** =

x

=

Indep. Claims

-3** =

x

=

Multiple Dependent

=

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

1202 18 2202 9

Claims in excess of 20

1201 86 2201 43

Independent claims in excess of 3

1203 290 2203 145

Multiple dependent claim, if not paid

1204 86 2204 43

** Reissue independent claims over original patent

1205 18 2205 9

** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

1051 130 2051 65

Surcharge - late filing fee or oath

1052 50 2052 25

Surcharge - late provisional filing fee or cover sheet

1053 130 1053 130

Non-English specification

1812 2,520 1812 2,520

For filing a request for *ex parte* reexamination

1804 920* 1804 920*

Requesting publication of SIR prior to Examination action

1805 1,840* 1805 1,840*

Requesting publication of SIR after Examiner action

1251 110 2251 55

Extension for reply within first month

1252 420 2252 210

Extension for reply within second month

1253 950 2253 475

Extension for reply within third month

1254 1,480 2254 740

Extension for reply within fourth month

1255 2,010 2255 1,005

Extension for reply within fifth month

1401 330 2401 165

Notice of Appeal

1402 330 2402 165

Filing a brief in support of an appeal

1403 290 2403 145

Request for oral hearing

1451 1,510 1451 1,510

Petition to institute a public use proceeding

1452 110 2452 55

Petition to revive - unavoidable

1453 1,330 2453 665

Petition to revive - unintentional

1501 1,330 2501 665

Utility issue fee (or reissue)

1502 480 2502 240

Design issue fee

1503 640 2503 320

Plant issue fee

1406 130 1460 130

Petitions to the Commissioner

1807 50 1807 50

Processing fee under 37 CFR 1.17(q)

1806 180 1806 180

Submission of Information Disclosure Stmt

8021 40 8021 40

Recording each patent assignment per property (times number of properties)

1809 770 2809 385

Filing a submission after final rejection (37 CFR § 1.129(a))

1810 770 2810 385

For each additional invention to be examined (37 CFR § 1.129(b))

1801 770 2801 385

Request for Continued Examination (RCE)

1802 900 1802 900

Request for expedited examination of a design application

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Patricia J. Sherwood

Registration No.

(Attorney/Agent)

36,677

Telephone

(650) 833-7790

Signature

Patricia J. Sherwood

Date

04/16/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.